



# Predicting plant conservation priorities on a global scale

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**The conservation status of most plant species is currently unknown, despite the fundamental role of plants in ecosystem health. To facilitate the costly process of conservation assessment, we developed a predictive protocol using a machine-learning approach to predict conservation status of over 150,000 land plant species. Our study uses open-source geographic, environmental, and morphological trait data, making this the largest assessment of conservation risk to date and the only global assessment for plants. Our results indicate that a large number of unassessed species are likely at risk and identify several geographic regions with the highest need of conservation efforts, many of which are not currently recognized as regions of global concern. By providing conservation-relevant predictions at multiple spatial and taxonomic scales, predictive frameworks such as the one developed here fill a pressing need for biodiversity science.**

plantae | conservation | predictive modeling | random forest | IUCN

**B**iodiversity is essential for ecosystem function (1, 2) yet is being lost at an unprecedented rate (3). This threat to ecosystem function has downstream economic (4) and cultural (1) consequences that affect human health and well-being (5, 6). Plants are the foundation of ecosystem architecture and agriculture, and as such, changes in plant species diversity strongly influence processes such as biomass production, decomposition, and nutrient cycling (7, 8). Plant diversity is therefore critical for diversity on other trophic levels (9, 10).

Conserving biodiversity is a complex task that includes scientific, social, and political challenges. Both species (11) and geographic areas (12) must be identified as targets for conservation while considering time, monetary costs (13), and community acceptance (14). For these reasons, the International Union for Conservation of Nature (IUCN) Red List of Threatened Species (Red List) is a key conservation tool for both policy makers and researchers. This list represents the most comprehensive and consistent listing of conservation status for animal and plant species worldwide (15). However, despite the essential ecological role of plant species, plants are not as well represented on the Red List as animals (13) and are often neglected in favor of charismatic vertebrates (14). The 2010 Convention on Biological Diversity (CBD) Global Strategy for Plant Conservation aims to protect 75% of known threatened plant species, yet only about one-tenth of plant species are on the Red List (16, 17), whereas some (1,777) are classified as Data Deficient (DD) and many unlisted species are likely to be at risk (18, 19). Consequently, there is an urgent need for more efficient methods of identifying at-risk species. To meet this need, we developed and evaluated a predictive protocol that permits a rapid initial assessment of conservation status for understudied plant taxa.

Our framework assesses risk for all land plant (hereafter, plant) species with geographic coordinates available on the Global Biodiversity Information Facility (GBIF). We use a machine-learning approach to predict plant species Red List status using open source geographic, environmental, and morphological trait

data for over 150,000 species, allowing us to provide conservation-relevant predictions at multiple spatial and taxonomic scales. Random forest (RF), a technique that builds random decision trees for classification and prediction (20, 21), has recently been applied to the exploration of biodiversity and conservation (e.g., refs. 18 and 22), and we use it to establish a predictive protocol for at-risk species at continental and global scales. We calculate the probability of each unlisted or DD species as belonging to a Red List non-Least Concern (non-LC) category (i.e., likely of being at risk on some level) and identify variables that are the most important in predicting conservation risk. We then identify global conservation hot- and coldspots and provide direct tools for local and global conservation needs. Our results indicate that a large number of unassessed species have a high probability of being at risk, and these probabilities can be used to establish assessment prioritization. Further, our work identifies global regions in need of conservation efforts, some of which are not currently recognized as regions of global concern. When appropriate, these results can be readily applied to direct conservation efforts at both the species and landscape scales.

## Results and Discussion

**Unlisted Species with Conservation Risk.** Plants represent the base of both natural and human-modified ecosystems and are central in sustaining full food chains. However, because of the resources required to perform detailed species assessments, only a small

### Significance

**The International Union for Conservation of Nature (IUCN) Red List of Threatened Species is a key tool for the conservation of biological diversity. The evaluation and addition of species to this list is a time-consuming and costly task, and as such, a large number of species are not listed. For example, only 5% of plant species housed in the Global Biodiversity Information Facility are currently listed on the IUCN Red List. The simple and integrated protocol presented here enables conservation researchers and managers to identify unassessed species most likely at risk and, thus, assists in the direction of resource allocation for conservation. Our results suggest that efforts have been highly skewed geographically, and identify conservation hotspots in need of further evaluation.**

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Data deposition: All data and scripts used to analyze the data are available on GitHub (<https://github.com/AnahiEspindola/PelletierEtAlPNAS>).

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**Table 1. Number of species for each IUCN Red List category and those not listed for each continent**

Continent	Red List Category						Not listed, <i>n</i>	Available to build classifier, <i>n</i>
	LC	NT	VU	EN	CR	DD		
Africa	941	153	505	335	81	43	23,142	2,015
Asia	1,363	113	184	67	30	64	31,584	1,757
Australia	488	40	48	24	5	5	22,538	605
Central America	351	54	136	91	29	15	14,999	661
Europe	753	66	60	57	29	71	17,597	965
North America	1,181	28	32	22	7	16	31,074	1,270
South America	888	353	872	411	84	63	44,590	2,608
Global species	2,134	130	205	108	32	61	30,424	2,609

proportion of all described plant species are currently assessed by the Red List (6.5% according to the Catalogue of Life [www.catalogueoflife.org/](http://www.catalogueoflife.org/)). The low representation of these groups within the Red List appears to be at least partially the result of a focus on charismatic species, differences in resource allocation across the globe, and an unbalanced presence of collectors across the world (16, 23, 24). Here, we respond to the challenge of global assessment and predict the Red List classification of unassessed land plant species. We evaluated several downsampling and resampling schemes to overcome biases in the data, and unless stated otherwise, the results presented below are based on predictions from downsampled data with LC vs. non-LC categories, as these produced the lowest balanced error rates (see *SI Appendix, Supplementary Methods and Results* for all analyses). Demonstrating the need of Red List assessments in plants, an astonishing 95% (153,057; Table 1) of the taxa databased in GBIF (with parameters that pass our filters) have never been assessed under the Red List protocol. The overall accuracy of our classifiers fell within the same range of those obtained in other studies (18, 25), at 73 to 82% globally. As we found previously (22), down- and subsampling balanced the error rates across categories (*SI Appendix, Tables S3–S6*) and should generally be applied in RF analyses in which datasets have unequal representation across categories (response variables).

Using the best classifiers built for two types of datasets, those containing only spatial data (“spatial”) and those containing both spatial and morphological data (“spatial+morpho”), we predicted Red List status for 213,927 and 17,231 species, respectively, and summarized the number of species predicted as non-LC and most likely in need of some conservation action (Table 2). For the spatial dataset, on average, 7.9% (range across continents, 3.4 to 13.6%) and 29.5% (range across continents, 18.7 to 41.9%) of plant taxa were predicted as non-LC at a probability of >0.80 and >0.60, respectively. For the spatial+morpho dataset, on average, 5.1% (range across continents, 0 to 10.1%) and 21.4% (range across continents, 10.2 to 43.3%) were

predicted as non-LC at a probability of >0.80 and >0.60, respectively.

We identified a core set of species that were consistently predicted as non-LC at a high probability (Table 3 and [Dataset S1](#)). We also found that the vast majority of species predicted with the spatial dataset have characteristics that make them good candidates for further assessment (e.g., restricted ranges, endemism, and exposure to threats), further validating the predictions made using the RF model. However, this was not true for many of the species from the spatial+morpho dataset (Table 3 and [Dataset S1](#)). We suspect that the smaller dataset used to construct the classifiers in the spatial+morpho analyses (*SI Appendix, Table S1*) led to low power of these classifiers in most regions, and we recommend that these results be considered carefully.

From a practical perspective, the species-centered predictions can be used to prioritize risk assessment. Species with the highest probabilities in one or both datasets represent the most critical targets for future studies (see [Dataset S2](#) for a complete list of species probabilities across all predictive models and continents). Biases in the search and assessment of species are widespread, and this also biases resource allocations toward species that are more visually attractive (24). The predictive protocol presented here is valuable, in that it successfully exploits open-source data, providing critical information for policy and decision makers who are responsible for the allocation of resources toward the investigation of conservation risk, and has the potential to increase the efficiency of conservation efforts and amplify the impact of biodiversity data in public data repositories. Notably, the computational requirements of the analyses are relatively low, permitting the use of personal computers, even for large datasets. Thus, this protocol is an extremely efficient way to prioritize species and geographic regions for conservation assessments and enables the optimization of both human and economic resources for the conservation of biodiversity.

**Table 2. Number of species predicted as non-LC at probabilities above 0.80 and 0.60 and total number of predicted species and error rates**

Continent	Spatial				Spatial+Morpho			
	Species predicted, <i>n</i>	Error rate	Non-LC >0.80	Non-LC >0.60	Species predicted, <i>n</i>	Error rate	Non-LC >0.80	Non-LC >0.60
Africa	23,185	0.1983	1,631	4,352	899	0.1737	81	193
Asia	31,648	0.2462	1,553	9,336	928	0.2998	113	325
Australia	22,543	0.2709	2,854	7,185	7,866	0.2519	580	2,074
Central America	15,014	0.2731	1,115	4,841	1,552	0.2495	158	672
Europe	15,336	0.1825	2,089	5,095	1,247	0.4824	0	170
North America	31,090	0.2500	2,676	13,041	1,125	0.2506	33	115
South America	44,653	0.2520	1,534	9,616	1,295	0.3151	54	275
Global species	30,458	0.2197	1,797	8,303	1,319	0.2654	20	240

**Table 3. Number of top 30 species predicted as non-LC in the spatial analysis likely to be listed as non-LC**

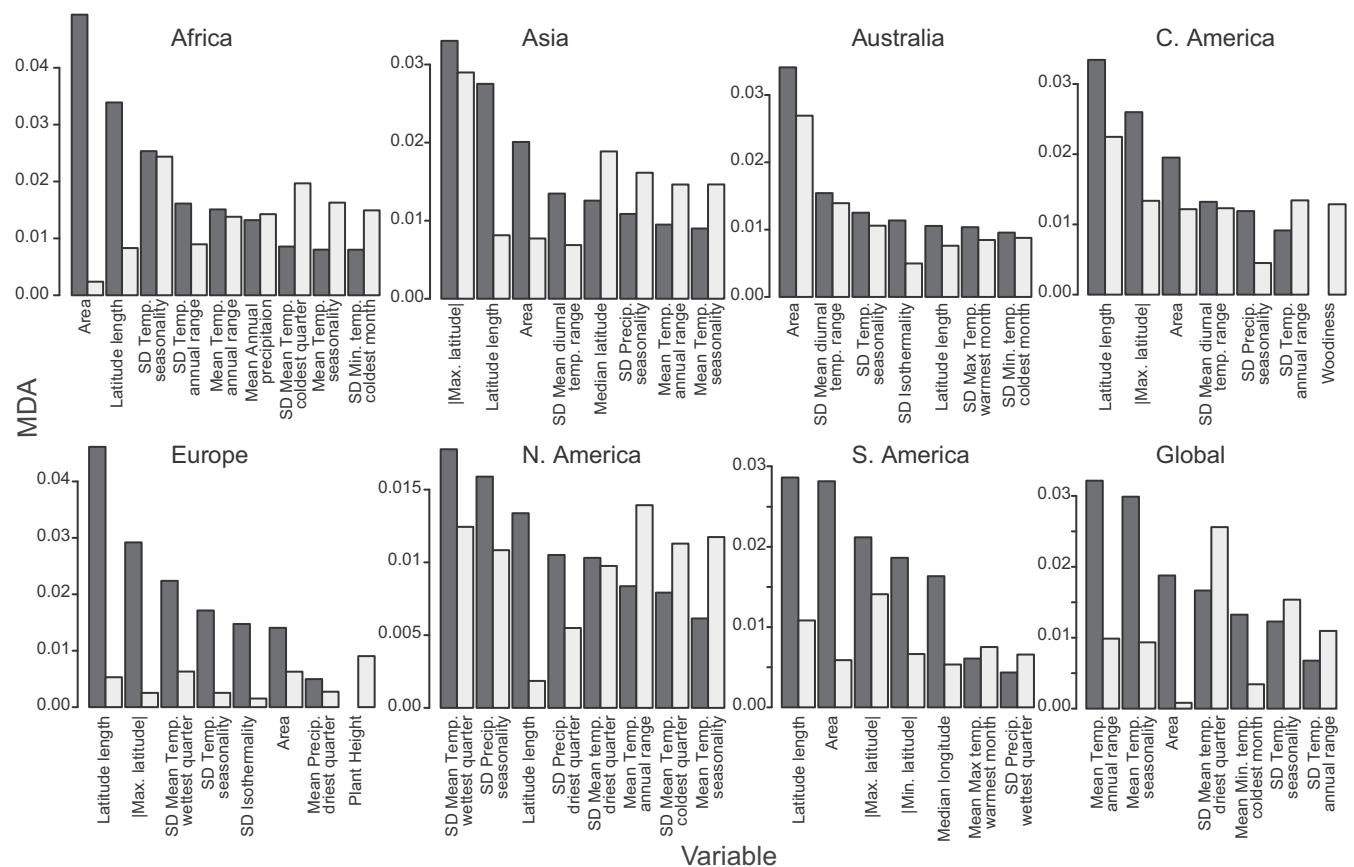
Region	Non-LC support
Africa	29/30
Asia	23/30
Australia	21/30
Central America	20/30
Europe	22/30
North America	28/30
South America	25/30
Global	28/30

Results based on information from bibliographic searches. Results are shown for all regions. For the full list of species names, see [Dataset S1](#), part 1.

**Traits That Predict Extinction Risk.** Although we did identify trends in the variables that contribute the most to at-risk classifiers across continents, there is no one single global variable that predicts conservation status. This result highlights the importance of considering local dynamics and conditions when making conservation-related decisions. In most cases, the geographic variables (area, length of latitude, and distance from the equator) were important predictors for which species are at risk (Fig. 1), in agreement with other work on conservation and biodiversity (17, 26). For example, species range size has long been considered in identifying taxa at risk [represented in Red List criterion B (27)], in part because small populations are more likely to go extinct than larger ones (represented in Red List criteria C and D; refs. 27 and 28). The fact that our analyses identify these variables

as important strongly indicates the adequacy of RF to predict IUCN conservation status. Indeed, several Red List criteria relate to the spatial variables used in our analyses, and since RF is a classification algorithm, the use of these variables can represent an appropriate way of mechanizing the initial search of species at risk. Along with the geographic variables, some bioclimatic traits related to temperature [e.g., temperature seasonality (BIO4) and temperature annual range (BIO7)], ranked regularly among the top explanatory variables for all continents. Results from the spatial+morpho datasets are complementary to the spatial datasets. Even though morphological traits were used for all datasets, the Europe and Central America datasets were the only ones that identified a single top explanatory variable (woodiness and plant height, respectively). This result agrees with previous studies conducted at more restricted taxonomic/spatial scales, which indicate that plant habit can affect diversification rates (e.g., ref. 29). The full global dataset was more influenced by the bioclimatic variables pertaining to temperature than by the geographic variables.

The predictive framework developed here is an example of the capability for global analyses to complement local studies; investigations on both scales are thus complementary and important for conservation decision-making. We identify both spatial and morphological traits that are thought to influence the ability of plants to survive when facing threats. Such identification of mechanistic processes via the analysis of large datasets not only demonstrates the utility of information contained in open-source repositories but also the adequacy of the protocol presented here to identify species at risk.



**Fig. 1.** Variable importance ranked by the MDA for all analyzed continents. Black bars indicate the spatial dataset; gray bars indicate the spatial+morpho dataset. Only the top five predictor variables for each model are included for simplicity, and they are ordered according to the spatial data.







downstream analyses and discussion, we refer to the LC vs. non-LC downsampled RF results for both the spatial and spatial+morpho datasets (all predictions found in [Dataset S2](#)).

To understand the level of agreement between predictions from the spatial and spatial+morpho datasets, we calculated the number of species that were predicted to belong to the same category by both methods, using a probability threshold of 80% ([Dataset S1](#)). Because we suspected the predictive power of the spatial dataset to be greater than that of the spatial+morpho dataset, we further evaluated predictions from this spatial dataset for the top 30 non-LC predicted species for each region (Table 3 and [Dataset S1](#)). We performed online bibliographic searches to identify whether or not those species already display any indication of being potentially in need of conservation actions (e.g., endemics, restricted ranges, occupying threatened regions, rare species, etc.).

**Variable Importance.** The importance of each variable was determined by measuring the mean decrease in accuracy (MDA) of the prediction after the removal of each variable from the predictive function. For our downsampling schemes, we calculated the mean and range MDA for all iterations. Lastly, we compared these results across datasets and for each continent (the top five variables from each dataset are shown in Fig. 1; the values for the endemic datasets are reported in [SI Appendix, Fig. S2](#)).

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**Global Distribution of Non-LC.** One of our goals was to use our predictions to inform conservation not only at the species level, but also on a global scale. To achieve this goal, we associated the probability value of being non-LC for all unassessed species to each of their own georeferenced GPS coordinates. After doing so, we used the raster package (52) in R to calculate the average probability of non-LC for all GPS coordinates within each cell of a  $1^\circ \times 1^\circ$  grid covering the world (see [SI Appendix, Fig. S3](#) for the endemic plots).

All data are deposited on GitHub (<https://github.com/AnahiEspindola/PelletierEtAlPNAS>) and further analyses are presented in [SI Appendix](#).

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