

## FOR 373: Forest Sampling Methods

### Simple Random Sampling

- What is it?
- How to do it?
- Why do we use it?
- Determining Sample Size

### Readings:

Elzinga Chapter 7

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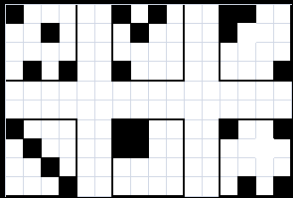
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### Simple Random Sampling: What is it

"In simple random sampling every possible combination of sampling units has an equal and independent chance of being selected"

Avery and Burkhart



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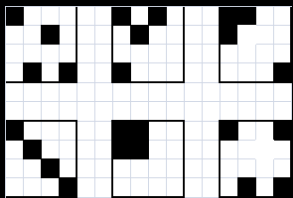
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### Simple Random Sampling: What is it

This does not mean that each sample has an equal probability of being selected.

For example, these stands may have 80% PIPO and 20% ABGR



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### Simple Random Sampling: Why do we use it

Therefore, we randomly sample a unit to determine the **Coefficient of Variation (CV)** and this allows us to calculate how many plots we will need in our inventory



T40NR4W Section 24

0 500 1,000 2,000 3,000 4,000 Feet

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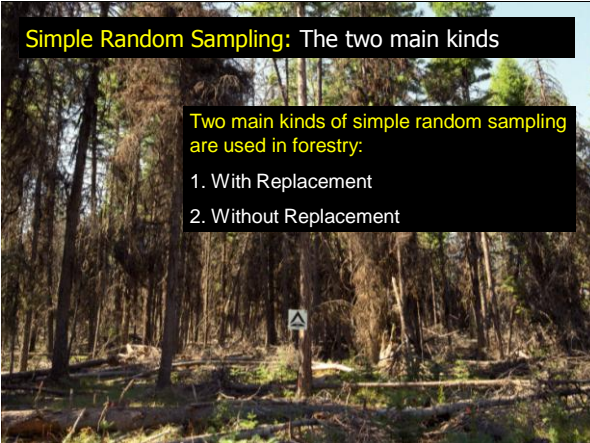
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### Simple Random Sampling: The two main kinds

Two main kinds of simple random sampling are used in forestry:

1. With Replacement
2. Without Replacement




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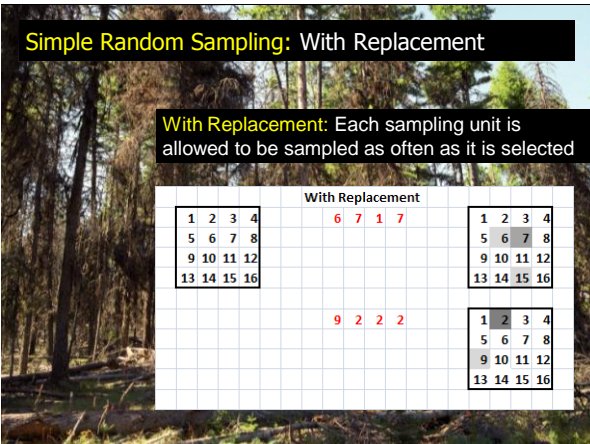
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### Simple Random Sampling: With Replacement

**With Replacement:** Each sampling unit is allowed to be sampled as often as it is selected

				With Replacement							
1	2	3	4	6	7	1	7	1	2	3	4
5	6	7	8					5	6	7	8
9	10	11	12					9	10	11	12
13	14	15	16					13	14	15	16
				9	2	2	2	1	2	3	4
								5	6	7	8
								9	10	11	12
								13	14	15	16




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### Simple Random Sampling: Without Replacement

**Without Replacement:** Each sampling unit can only be sampled once and is effectively removed from the population once sampled

Without Replacement:															
1	2	3	4			6	7	1	15			1	2	3	4
5	6	7	8									5	6	7	8
9	10	11	12									9	10	11	12

The majority of forest sampling is done **without replacement**. However, other disciplines use **with replacement methods**.

						9	1	2	14			1	2	3	4
												5	6	7	8
												9	10	11	12
												13	14	15	16

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### Simple Random Sampling: An Example of Wildlife

Consider evaluating the abundance of animals purely by sampling the number in visual range at random points

These approaches are called **mark-recapture methods**

Recommended Reading: Elzinga et al Chapter 13 (full book)

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### Simple Random Sampling: An Example of Wildlife

**The Premise:**  
 A sample of animals are caught and tagged (say 70) and then allowed to remix with the population  
 At a subsequent sample: the % of tagged animals re-caught (say 10%) is assumed to represent the % of the tagged to the whole population  
 Total Population =  $70/10 \times 100 = 700$

**\*\* Class Courtyard Flagging Example**

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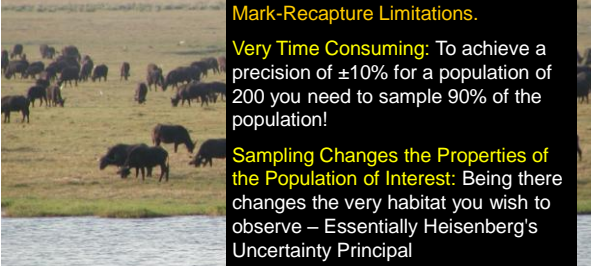
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### Simple Random Sampling: An Example of Wildlife



**Mark-Recapture Limitations.**  
**Very Time Consuming:** To achieve a precision of  $\pm 10\%$  for a population of 200 you need to sample 90% of the population!  
**Sampling Changes the Properties of the Population of Interest:** Being there changes the very habitat you wish to observe – Essentially Heisenberg's Uncertainty Principal

Recommended Reading: Elzinga et al Chapter 13 (full book)

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### Simple Random Sampling: An Example of Wildlife



- Mark-Recapture Limitations:**
- Tags may be lost
  - Tagged animals may be less prone to recapture
  - Tagged animals may be affect its social status or health
  - Same sub-population may not mix with larger population

Recommended Reading: Elzinga et al Chapter 13 (full book)

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### Simple Random Sampling: Getting Information

For both with and without replacement methods, the population mean is calculated using this equation:

$$\bar{y} = \frac{\sum y}{N}$$

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### Simple Random Sampling: Getting Information

The With Replacement (or infinite population) Standard Error:

$$s_{\bar{y}} = \sqrt{\frac{s^2}{n}}$$

The Without Replacement (or finite population N) Standard Error:

$$s_{\bar{y}} = \sqrt{\frac{s^2}{n} \left(1 - \frac{n}{N}\right)}$$

The difference is called the finite population term:

$$\left(1 - \frac{n}{N}\right)$$

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### Simple Random Sampling: So Why do We Care?

To plan ANY forest inventory its needs to be statistically valid while practical.

Enough samples must be collected to obtain an estimate of the inventory to a reasonable precision.

Too many is a waste. Too few will make the errors to high.

The preliminary data from Simple Random Sampling and the standard error allows us to determine exactly how many plots we will need.

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### Simple Random Sampling: Getting Information

Based on this information we can now calculate the number of sampling plots we will need in our inventory:

$$n_0 = \frac{t^2 \times s^2}{E^2}$$

n = samples to estimate mean to ± E  
t = t-value from students t-test table  
s = standard deviation from a prior work  
E = standard error of the mean

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## Simple Random Sampling: Getting Information

Or when using percentage error we could calculate sample size or number of plots by using the following equation:

$$n_0 = \frac{t^2 \times CV^2}{E(\%)^2}$$

- n = samples to estimate mean to ± E
- t = t-value from students t-test table
- s = variance from a prior work
- E = allowable % error

Port Valley State Forest, Ariz., Dept. 1944. U. S. Forest Service group of ponderosa pine in virgin stand, sample plot 26. Nearly all trees contain one or more horizontal clearings and diameter range is to 12 inches.

## Sampling: How Many Sampling Units

t-values table: A Work Through Example

TABLE A-4. Critical Values of Student's t Distribution

Degrees of Freedom	Two-Tailed Probability of Obtaining a Larger Value								
	0.5	0.4	0.3	0.2	0.1	0.05	0.02	0.01	0.001
1	1.0000	1.3764	1.9626	3.0777	6.3137	12.7062	31.8210	63.6559	636.5776
2	0.8165	1.0607	1.3862	1.8856	2.9200	4.3027	6.9645	9.9250	31.5998
3	0.7649	0.9785	1.2498	1.6377	2.3534	3.1824	4.5407	5.8408	12.9244
4	0.7407	0.9410	1.1896	1.5332	2.1318	2.7765	3.7469	4.6041	8.6101
5	0.7267	0.9195	1.1558						
6	0.7176	0.9057	1.1342						
7	0.7111	0.8960	1.1192						
8	0.7064	0.8889	1.1081						
9	0.7027	0.8834	1.0997						
10	0.6998	0.8791	1.0931	1.3722	1.8125	2.2281	2.7638	3.1693	4.5868
11	0.6974	0.8755	1.0877	1.3634	1.7959	2.2010	2.7181	3.1058	4.4569
12	0.6955	0.8726	1.0832	1.3562	1.7823	2.1788	2.6810	3.0545	4.3178
13	0.6938	0.8702	1.0795	1.3502	1.7709	2.1604	2.6503	3.0123	4.2209
14	0.6924	0.8681	1.0763	1.3450	1.7613	2.1448	2.6245	2.9768	4.1403
15	0.6912	0.8662	1.0735	1.3406	1.7531	2.1315	2.6025	2.9467	4.0728
16	0.6901	0.8647	1.0711	1.3368	1.7459	2.1199	2.5835	2.9208	4.0149
17	0.6892	0.8633	1.0690	1.3334	1.7396	2.1098	2.5669	2.8982	3.9651
18	0.6884	0.8620	1.0672	1.3304	1.7341	2.1009	2.5524	2.8784	3.9211

Degrees of Freedom = n-1  
Need to estimate n to get t-value

Port Valley State Forest, Ariz., Dept. 1944. U. S. Forest Service group of ponderosa pine in virgin stand, sample plot 26. Nearly all trees contain one or more horizontal clearings and diameter range is to 12 inches.

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18	0.6884	0.8620	1.0672	1.3304	1.7341	2.1009	2.5524	2.8784	3.9211

Let n = 4, then for 80% probability the t-value = 1.6377

Port Valley State Forest, Ariz., Dept. 1944. U. S. Forest Service group of ponderosa pine in virgin stand, sample plot 26. Nearly all trees contain one or more horizontal clearings and diameter range is to 12 inches.

## Sampling: How Many Sampling Units

### t-values table: A Work Through Example

TABLE A-4. Critical Values of Student's *t* Distribution

Degrees of Freedom	Two-Tailed Probability of Obtaining a Larger Value								
	0.5	0.4	0.3	0.2	0.1	0.05	0.02	0.01	0.001
1									
2									
3									
4									
5									
6									
7									
8									
9									
10									
11									
12									
13									
14									
15									
16									
17									
18									

We have:  $n = 4$ ,  $t\text{-value} = 1.6377$

Calculate sample size using  $E = 10\%$  and a CV of 30

$$n_0 = \frac{t^2 \times CV^2}{E(\%)^2} = \frac{1.6377^2 \times 30^2}{10^2} = 24$$

Then you recalculate your degrees of freedom with the new  $n$  value until  $n$  repeats (changing your  $t$ -value).

$$n_0 = \frac{1.3195^2 \times 30^2}{10^2} = 16 = \frac{1.3406^2 \times 30^2}{10^2} = 16$$

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## Sampling: How Many Sampling Units

### t-values from Excel

	A	B	C	D	E	F
1						
2		n =	4			
3		Confidence	80%			
4						
5		t =	1.6377	=TINV(0.20,(C4-1))		
6						

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