

**Written & Oral Preliminary Examination/Oral Proposal Presentation Rubric Evaluation  
for Ph.D. Candidacy**

Candidate Name: \_\_\_\_\_

Date: \_\_\_\_\_

Examination Iteration: 1 2 (circle correct number)

Proposed Dissertation Title: \_\_\_\_\_

<b>Evaluation/Guidance</b>		<b>Needs Significant Improvement</b>	<b>Needs Improvement</b>	<b>Acceptable</b>	<b>Very Good</b>	<b>Excellent</b>
1. <b>Problem Definition:</b> States the research problem clearly, providing motivation for undertaking the research						
2. <b>Literature and Previous Work:</b> Demonstrates sound knowledge of literature in the area, and of prior work on the specific research problem						
3. <b>Impact of Proposed Research:</b> Demonstrates the potential value of solution to the research problem in advancing knowledge within the area of study						
4. <b>Solution Plan:</b> Provides a sound plan for applying state-of-the-field research methods/tools to solving the defined problem and shows a good understanding of how to use methods/tools effectively						
5. <b>Expected Results:</b> Provides a sound plan for analyzing and interpreting research results/data						
6. <b>Quality of Written and Oral Communication:</b> Communicates research proposal clearly and professionally in both (a) written form and (b) oral form	(a)					
	(b)					
7. <b>Critical Thinking:</b> Demonstrates capability for independent research in the area of study, preparedness in core disciplines relevant to research, and ability to complete the proposed research						
8. <b>Broader Impact:</b> Demonstrates awareness of broader implications of the proposed research. Broader implications may include social, economic, technical, ethical, business, etc. aspects.						
9. <b>Breadth:</b> Demonstrates a basic knowledge reflective of the ESE required cores and how they connect with the specific research area.						

**Overall Assessment:** The assessment of the overall performance of the candidate based on the evidence provided in items 1 – 9 above.

CRITERIA	PERFORMANCE RATINGS				
	Does NOT PASS PRELIMINARY Exam	Passes PRELIMINARY Exam			
OVERALL, my rating of the PERFORMANCE	Needs Significant Improvement	Needs Improvement	Acceptable	Very Good	Excellent

Name of the Examining Committee Member: \_\_\_\_\_

Signature of the Examining Committee Member: \_\_\_\_\_

**Please report additional comments on the backside of this page.**