

# Nutritional / Disease Theories of Substance Abuse

Psychology 470

Introduction to Chemical Additions

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### Background

- Biological models are part of the Nature
   Nurture debate
- Early debates focused on alcoholism
- · Which causes alcoholism
  - Nature Biology, Genetics, etc
  - Nurture Environment
- · Many proponents on both sides

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### Biological / Disease Models

- All contend there is some biological basis for addiction or chemical dependency
  - Most usually focused on alcohol
- · Focus is in different areas.
  - Nutrition
  - · Genetics
  - Others
- Also, is a very contentious debate in the addictions field with supporters taking a us vs. them mentality
  - You are with us or against us. No gray area exists within the debate.

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#### Many Different Models

### Marker / Trait Models

- Nutritional Models
- · Genetic Models
  - Early Models
  - Two Gene Theories
  - Recessive Genetic Theories
  - Diathesis Stress Models
  - Disease models in general

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### Marker / Trait Theories

- · Oldest scientific theories
- Contends there is some genetic marker that predicts whether a person will become alcoholic.
  - · Hair color, eye color, lines in the hand, etc.
- · Later expanded to psychological markers (traits)
- Depression, impulsivity, hyperactivity, etc.
- Is hypothesized to be correlated with some type of brain damage that results in alcoholism.
- Problem
  - No marker has been shown to predict alcoholism
  - Research is correlational. Cannot establish causeeffect relationships.
  - · Poor reliability and validity.

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### **Nutritional Models**

- · Early models
  - Contended alcoholism was caused by the lack of some nutrient.
  - The person drinks because alcohol is providing some nutrient
- Problem
  - · What was lacking?
  - No reliability or validity
  - All correlational evidence

#### Later Nutritional Theories

- Contends alcoholism is caused by the lack or too much of some nutritional substance.
- · Causes some brain biochemical change
- · Problem Which substance
  - Some people become alcoholics others do not.

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#### Example

- · Alcoholism caused nutritional deficits
  - · Korsakoff's and Wernicke's syndrome
  - · Has a strong correlation with alcoholism
- · Problem. Does not occur due to alcoholism
  - · Occurs due to the lack of B vitamins
    - · However, alcohol does decrease absorption of B vitamins
  - · Other drugs also decrease vitamin absorption.

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### Nutrition Models and Drug Use / Abuse

- Poor relationship for other compounds
  - Methamphetamines
  - Opiates
  - Cocaine
- What nutrient is lacking?
- · Ultimately,
  - · Implication that poor nutrition causes drug abuse has problems with reliability and validity
  - · However, drug abuse does cause nutritional problems

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### Disease Model (of Alcoholism)

- Many advocates
- · Many opponents
- Often is called a turf battle between the Medical and Mental Health Professions
- It the current predominant model in the
- · Is not the predominant model in other parts of the world.

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### Early Theories

- Heroditus (fifth century BC)
- references drunkenness as a body and soul sickness (Crothers, 1893)
- Aristotle (384-322 BC)
  - Compared licentiousness to drunkenness
  - Noted that the former was a functional disorder while the latter resulted from an organic disorder.
- Viewed licentiousness as permanent but drunkenness curable. (The Cyclopaedia of Temperance and Prohibition, p. 221)
   The Combined Addiction Disease Chronologies of William White, MA, Ernest Kurtz, PhD, and Caroline Acker, PhD 5th BC 1863
- http://www.bhrm.org/papers/5thBC-1865.pdf

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### Family Observations

- Aristotle
  - · Drunken women bring forth children like unto themselves
- · Observed some diseases run in families.
- · Theorists contended that alcoholism was the same
  - Problem, could not account for the variety of types of alcoholism
- · Thus, moral models still predominated

#### Early Theorists

- Distilled spirits had come to the America's
- Benezet
- Challenged the view that alcohol was good, instead it was bewitching poison

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### Benjamin Rush, MD 1784

- · Thought alcohol was a stimulant
- First U.S. physician to identify alcoholism as a disease
- Introduced medical language into the discussion of intemperance
- Contended distilled spirits were strong CNS stimulants and excessive use caused an imbalance in the NS
- Contended loss of control was the major symptom
- Developed a treatment model based on the disease concept

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#### Rev. Lyman Beecher (1825)

- Delivered six sermons on the Nature, Occasions, Signs, Evils, and Remedy of Intemperance.
- Contended intemperance was an evil habit
- Concluded his sermons with the contention that intemperance was a disease as well as a crime.

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#### William Sweetser M.D. (1829)

- Contended that intemperance caused an alteration in all major body structures
- Also caused alterations in body functioning
- Was caused by heredity or accidental circumstance
- But was a disease produced by voluntary acts

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### Sam Woodward M.D. (1830)

- Contended intemperance was a physical disease which preyed on the person's health and spirits
- · Heredity caused chronic drunkenness
- It was a physical evil, a disease of the stomach and nervous system

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### Treatment

- Abstinence is the only effective cure
  - Also used cold baths, early aversion therapy
  - Vegetarianism, cold baths, and others.
  - Called for the establishment of "sober houses".
- See Meyer, R Lancet, 347: 1996

#### Thomas Trotter (1804)

- · English Physician
- Wrote a paper stating habitual drunkenness was a disease
- · Was produced by a remote cause
- · Caused health problems
- Created intense controversy with proponents of the moral model
- Also created controversy within the medical community

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#### Other Disease Model Theorists

- Carpenter (1850)
- · Also contended alcoholism was a disease
- Related alcoholism to lunatics (diagnostic type of insanity)
- Described many types of symptoms
  - Brain deterioration
  - · Delirium Tremens
    - · Caused by habitual intemperance
  - · Melancholia (Depression)
- Had a predisposing cause
  - · Modified nutritional operations
  - Result- changed chemical, physical and other body systems
- · Carpenter, On the Use and Abuse of Alcohol Liquors

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### Oinomania (Dipsomania) Insanity

- Was different from drunkenness
- Irresistible propensity to swallow stimulants in enormous doses whenever they can be procured
- · Generally describing loss of control
- · Person drinks anything

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Psyc 470 – Introduction to Chemical Additions Contended there were three types of alcoholics

- Acute
- Periodic
- Chronic

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### Acute

- · Was the rarest
- Occurs from hemorrhage in puerperal state
- Recovery from fevers
- · Excessive venereal indulgence
- · Some forms of dyspepsia
- Cured after restoring the health of the patient
  - · Dyspepsia usually was not cured

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### Periodic (Proxysimal)

- · Is more frequent than acute
- · Occurs from head injuries, pregnant females.
- · Catamenial periods
- On the approach to the critical stage
- In men whose brains are overworked
- Characterized by cravings
  - Cure for cravings drinks alcohol until intoxicated
  - · Stops for a week, then begins again
  - · Can be cured by abstinence
  - If the person does not stop, becomes maniacal or imbecile, has physical problems, or moves to the next stage

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#### Chronic Stage

- · Is the most common
- Caused by head injuries, hereditary predisposition, intemperance, or diseases of the heart.
- · Is the most incurable
- · Person has
  - · Constant desire for stimulants (alcohol)
  - Cravings
  - Drinking to intoxication regardless of consequences
  - May commit homicide or suicide
  - Is a danger to himself and others
- Person is not responsible for the disease once they begin drinking

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#### Cure

- · Attention to health
- Abstinence
- · Must be treated in an asylum
  - Is necessary for the person, family, security of the public
  - Should be prevented from committing crimes
  - · Must be controlled

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#### **Prognosis**

- If you have a strong mind you can resist the disease,
- If not, people voluntarily return to the asylum to remain until the "attack" (craving) has worn off
- Carpenter of chronic cases, I have seen only one case completely cured (after 2 years of seclusion.
- Is not cured, when liberated they manifest all symptoms of the disease
- So, keep confined in the asylum forever.

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#### Finally

- Notes that intemperance is the most potent type of insanity. Also causes other types of insanity, idiocy, or mental debility in the person's offspring.
- Thus, the disease is hereditary.
- · Places the mental disease on their family
- Causes daughters to become nervous and hysterical. Sons to become weak, wayward, eccentric and deteriorate.

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### Hospitals Develop

- · Were based on disease models
- 1864 New York State Inebriate Asylum
- 1870 American Association for the Cure of Inebriety (AACI)
  - Contended
  - · Intemperance is a disease
  - · Is curable like other diseases
  - · Cause is susceptibility to alcoholic impression
  - May be inherited or acquired
- Published a journal AACI Journal of Inebriety

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### Crothers

- · Inebriety had multiple causes
  - Heredity, illness, emotional excitement, adversity
- · Had different patterns
  - Intermittent
  - Chronic

#### Early Opponents of the Disease Model

- · Was an apology for sin of drunkenness
- · Was a victim of society
  - Society had seduced the person into the habit.
- Was a sin against God
- Could only be cured by religious conversion

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#### Time passes

- Moral model still strong but the disease model has proponents
- The concept of opiate addiction develops along with the disease concept of alcoholism
- 1880's addiction specialists were using terms such as drug vice and dreadful habits
- Morphinism begins to move into the medical literature
- However, the concept of disease models were poorly developed

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### Concepts of Alcohol and Drug Problems

- End of 19th Century there were several different models
- Disease Model
- Source of the problem was in the person (vice and sin)
- Source of the problem was the product (alcohol, opiates, cocaine)
- Source of the problem was the aggressiveness of promotion by distilleries, breweries, physicians and pharmacists

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### End of 19th Century

- · Disease concept falls out of favor
- Demedicalization of addiction increases and issues related to prohibition begin to increase
- Harrison Narcotic Act (1914)
  - Brought narcotics and cocaine under federal control
  - · Physicians are the gatekeepers
- Care of addicts moves from physicians to others
- The addict is a criminal put them in jails
- Prohibition begins in 1920, ends 1933
- Disease concept falls from popularity
- Most treatment facilities no longer exist by end of prohibition.

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# Psychiatry

- Alcoholics are "treated" in prisons or public hospitals
- Psychiatrists used Freudian models
  - Alcohol and drug use is not the primary problem.
  - Need to find the hidden, unconscious forces that is causing the alcohol/drug abuse.

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### Result

- Formed theoretical foundations to treat patients
  - Allowed recovered alcoholics to help others in clinical settings
  - Caused the creation of private hospitals for those who could afford treatment

#### Consequence

- · Mandatory sterilization
- · Legal commitment
- · Prefrontal Lobotomies
- ECT
- · Drug therapies
- Worst abuses of the mental health system.

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#### 1935

- AA begins (Bill Wilson, Dr. Bob Smith)
- Was not the first self help group for alcoholism, but became the largest.
- Has and still has a major influence in alcoholism treatment.
- Is the standard for support-structures
- Is credited with as the source of the new "Disease Concept of Alcoholism"

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#### **Problem**

- Early AA pioneers did not believe it was a disease entity
- Was an ailment or a malady
- Only used disease concepts so people could understand they could not drink alcohol
- · Also, no discussions of disease concepts in text from AA
- Had a physical, mental, emotional, and spiritual dimension.
- Kurtz
  - Reviewed whether AA was the source of the disease concept
- AA used the disease concept, but did not originate, rediscover, or push the concept
- Generally AA used the concept as a statement of experience.

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Psyc 470 – Introduction to Chemical Additions So, Who Developed the New Disease Model of Alcoholism?

- · Three Groups
- Research Council on the Problems of Alcoholism (1937)
- Yale Center of Alcohol Studies (1943)
- National Committee for Education of Alcoholism (1944)
  - · Marty Mann
- Collectively developed the driving force for the concept.

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### 1943-44 Yale

- · Center of Alcohol Studies
  - · Jellinek, Keller, Jolliffe, Efron
  - Conduced scientific research on addictions
  - Published Quarterly Journal of Studies on Alcohol
  - · Taught professionals about alcoholism
- Yale Plan Clinics
  - Pioneered modern model of outpatient counseling
  - Advocated alcoholism was a disease but used a psychoanalytic model as a understanding for the cause
  - Needed to find the underlying cause
  - · Used different modalities including group therapy

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#### 1944

- National Committee for Education on Alcoholism (Marty Mann)
- Supported 5 areas
  - · Alcoholism is a disease
  - Alcoholic is therefore, a sick person
  - The alcoholic can be helped
  - The alcoholic is worth helping
  - · Alcoholism is our public responsibility

· Pushed the concepts throughout her life

#### Problem

- Despite all the discussion, comments, and arguments that alcoholism was a disease
- THERE WAS LITTLE OR NO EVIDENCE TO SUPPORT THE ARGUMENT
- Lots of fighting between NCEA and the Yale Plan

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#### Jellinek (1950, 1962) Studies

- · Studied alcoholism
- · Had a prolific impact
- · Before Jellinek,
  - Moral model predominates
  - Besides morality issues, physicians of the time contended alcoholics had some "allergy" that caused them to drink differently than non-alcoholics.
    - · But did not know what the allergy was

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#### Cont.

- · AA had been developed
  - Contained a heavy spiritual component in the treatment model
  - Accepted that alcoholics were different than non-alcoholics

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#### Jellinek

- Surveyed 98 male AA alcoholics in late stages of alcoholism
- Wrote a classic book The Disease Concept of Alcoholism
- · Contended alcoholism was a disease
  - BUT had more than one type

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## Five Subtypes of Alcoholism

- Alpha
  - Use alcohol to relieve pain more frequently and in greater amounts than socially accepted
- Beta
  - Drink heavily, experience health and social problems but are not addicted
- Gamma
  - Experience loss of control, have increased tolerance, craving, and withdrawal

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### More subtypes

- Delta
  - Similar to Gamma but do not lose control over amount consume
  - · Cannot stop from using
- Epsilon
  - Similar to Gamma but are binge or periodic drinkers

### Stages of Alcoholism

- Drinkers progress through 4 distinct stages (later 5)
- · Prealcoholic symptomatic phase
- · Prodromal Phase
- · Crucial Phase
- · Chronic Phase

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#### Prealcoholic Phase

- Drinking is associated with rewarding relief from tension or stress.
- Persons who are predisposed will increase their frequency over time
- Tolerance to alcohol develops. Need more drug to get the same effect.

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#### Prodromal Phase

- · Marker for the stage is blackouts
  - Drinker seems normal but has no recollection of what they did
- Person also has an increased need for alcohol
- · Hides alcohol
- · Drinks when others are not looking
- · Has increased guilt

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#### Crucial Phase

- Key marker is Loss of Control over drinking
- · Person cannot abstain from drinking
- Person cannot stop once they begin drinking
- · Will drink in the morning
- Begins to experience problems with work, family, and social life
- Often avoids family and friends

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### Chronic Phase

- Person may drink for days at a time
- Drinking becomes obsessive
- Physical and emotional problems develop

Vaillant

Other Studies

- Two major studies (one 45 years)
- Identified four patterns
  - · Progressive alcoholism

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- · Return to asymptomatic drinking
- · Stable abstinence
- Atypical, nonprogressive alcoholism

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#### Results

- Supported Jellinek's model of progressive alcoholism
- Also supported the notion that some people
  - Did not progress
  - Returned to social or asymptomatic drinking (18/110 subjects: 16%)
- Very damaging to some models (AA) disease concept

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#### Washton's Model of Cocaine Addiction

- · Similar to Jellinek Model
- Contends it is a chronic disease that gets worse unless treated
- · Is progressive and predictable
- · Three stages
  - Early
  - Middle
  - Late

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### Early

- · Altered brain chemistry
- · Obsessive thoughts
- Cravings
- · Lifestyle changes

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#### Middle

- Loss of control
- Cravings
- Denial
- Increasing physical and psychological consequences
- Impaired school/work performance

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## Late

- Failure at efforts to stop
- · Severe financial problems
- · Chronic depression
- · Cocaine psychosis
- Death

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## Problems with the Disease Model

- Still have not found the major underlying variable that causes the "disease"
- · If genetic, should have a gene
  - · Have not found one yet
- If learned should be able to be unlearned.
  - · Works for some but not for others

#### More Problems

- Why do some people not progress to alcoholism if they come from parents that both are alcoholics?
- Why do some alcoholics become social drinkers?
- Are major problems with the model

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#### Conclusion

- Is the predominant model in the U.S.
- Is not accepted by everyone.
- Has some problems with validity and reliability
- Cannot explain some major problems
  - Spontaneous recovery